

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Paul E. Adams, Craig D. Tipton, Shreyasi Lahiri, James H. Bush, James C. Ray,
and David J. Aaserud

Application No.: 10/520,976

Group No.: 1764

Filed: 01/11/2005

Examiner:

For: Friction Modifiers for Improved Anti-Shudder Performance an High Static Friction in Transmission
Fluids

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

REQUEST AND FEE TO DELETE AND/OR
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED
INVENTOR(S) IN—NONPROVISIONAL APPLICATION—DECLARATION
(37 C.F.R. § 1.48(a))

1. This amendment and request is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on January 11, 2005.
2. Addition and/or Deletion of Inventor(s)

Add the following previously unnamed person(s) as inventor(s) of this application: James C. Ray
and David J. Aaserud

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is *mandatory*;
Express Mail certification is *optional*.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

G deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. § 1.10*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION☒ is being filed electronically via EFS.Date: Dec 7, 2006

Terri Pecjak
Signature

Terri Pecjak
(type or print name of person certifying)

* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

3. Attachments

Attached is:

- (a) A statement from each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).
- (b) A declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(3).
- (c) Written consent of the assignee. 37 C.F.R. § 1.48(a)(5).

4. Fee Payment (37 C.F.R. § 1.17(i))

The fee required by 37 C.F.R. § 1.17(i) is paid as follows:

Authorization is hereby made to charge the amount of \$130.00 to Deposit Account No. 12-2275.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

Date:

December 6, 2004



David M. Shold
Registration No. 31,664
The Lubrizol Corporation
29400 Lakeland Boulevard
Wickliffe, OH 44092
440-347-1601
Customer No. 26645

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

PAUL E. ADAMS, CRAIG D. TIPTON, SHREYASI LAHIRI, JAMES H. BUSH,
JAMES C. RAY, AND DAVID J. AASERUD

DOCKET No.: 3190-02

CUSTOMER NUMBER: 26645

SERIAL No.: 10/520,976

EXAMINER: ---

FILED (371(C)): JANUARY 11, 2005

GROUP ART UNIT: 1764

TITLE: FRICTION MODIFIERS FOR IMPROVED ANTI-SHUDDER PERFORMANCE
AND HIGH STATIC FRICTION IN TRANSMISSION FLUIDS

Wickliffe, Ohio

Dated: October 17, 2006

Hon. Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

REQUEST FOR CORRECTION OF INVENTORSHIP 37 C.F.R. 1.48

Please correct the inventorship of the above application by adding the names of James C. Ray and David J. Aaserud, individuals who were omitted through error.

FEE 37 C.F.R. 1.17(I)

Please charge the required fee, believed to be \$130.00, as well as any additional-required fees or any deficiency in fees (or credit any overpayment) to deposit account 12-2275 (The Lubrizol Corporation).

Respectfully submitted,

/ David M. Shold # 31,664 /

Phone: (440) 347-1601

The Lubrizol Corporation

David M. Shold

Telefax: (440) 347-1110

29400 Lakeland Blvd.

Attorney for Applicant

document28 Wickliffe, OH 44092

Reg. No. 31,664

I certify that this correspondence is being deposited with the United States Postal Service as ☐ first class ☐ express mail in an envelope addressed to Commissioner for Patents POBox 1450 Alexandria VA 22313-1450[Exp Mail ☐]
or ☐ is being transmitted by facsimile to the United States Patent and Trademark Office or ☒ is being filed electronically.

on Dec 7, 2006 By: Teri Decjak

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

PAUL E. ADAMS, CRAIG D. TIPTON, SHREYASI LAHIRI, JAMES H. BUSH,
JAMES C. RAY, AND DAVID J. AASERUD

DOCKET No.: 3190-02

CUSTOMER NUMBER: 26645

SERIAL No.: 10/520,976

EXAMINER: ---

FILED (371(C)): JANUARY 11, 2005

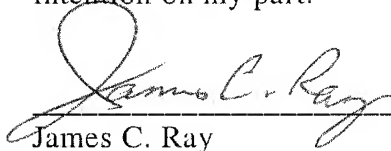
GROUP ART UNIT: 1764

TITLE: FRICTION MODIFIERS FOR IMPROVED ANTI-SHUDDER PERFORMANCE
AND HIGH STATIC FRICTION IN TRANSMISSION FLUIDS
Wickliffe, Ohio

Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Sir:

STATEMENT FROM INVENTORS 37 C.F.R. 1.48

I hereby state that the error in inventorship in the above application, namely, the omission of my name from the original list of inventors, occurred without deceptive intention on my part.


James C. Ray

17 OCT 2006
Date


David J. Aaserud

10-17-2006
Date

I certify that this correspondence is being deposited with the United States Postal Service as ☐ first class ☐ express mail in an envelope addressed to Commissioner for Patents POBox 1450 Alexandria VA 22313-1450(Exp Mail ☐ or ☐ is being transmitted by facsimile to the United States Patent and Trademark Office or ☒ is being filed electronically.
on Dec. 7, 2006 By: Terri Pecjak

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

PAUL E. ADAMS, CRAIG D. TIPTON, SHREYASI LAHIRI, JAMES H. BUSH,
JAMES C. RAY, AND DAVID J. AASERUD

DOCKET No.: 3190-02

CUSTOMER NUMBER: 26645

SERIAL No.: 10/520,976

EXAMINER: ---

FILED (371(C)): JANUARY 11, 2005

GROUP ART UNIT: 1764

TITLE: FRICTION MODIFIERS FOR IMPROVED ANTI-SHUDDER PERFORMANCE
AND HIGH STATIC FRICTION IN TRANSMISSION FLUIDS

Wickliffe, Ohio
October 17, 2006

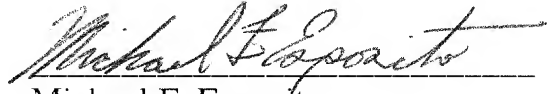
Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450
Sir:

STATEMENT OF CONSENT BY ASSIGNEE 37 C.F.R. 1.48

The above-identified application is assigned to The Lubrizol Corporation by way of an assignment executed January 4 and January 7, 2005, recorded on January 11, 2005 at Reel/Frame 016763/ 0244.

The Lubrizol Corporation gives its consent to correction of the inventorship of the above-identified patent application, by the addition of the two inventors James C. Ray and David J. Aaserud.

I have authority to act in this matter on behalf of The Lubrizol Corporation.


Michael F. Esposito
Chief Patent Counsel
Reg. No. 29,506

I certify that this correspondence is being deposited with the United States Postal Service as ☐ first class ☐ express mail in an envelope addressed to Commissioner for Patents POBox 1450 Alexandria VA 22313-1450[Exp Mail ☐]
or ☐ is being transmitted by facsimile to the United States Patent and Trademark Office or ☒ is being filed electronically.
on Dec 7, 2006 By: Terri Peepack